

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Confirmation No. 4119

Kojiro KAWASAKI et al.

Mail Stop: PCT

Serial No. 10/533,585

Attorney Docket No. 2005_0712A

Filed May 3, 2005

RECORDING AND PLAYBACK APPARATUS AND METHOD [Corresponding to PCT/JP2003/014237 Filed November 10, 2003]

REPLY TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 USC 371

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE FEES FOR THIS PAPER TO DEPOSIT ACCOUNT NO. 23-0975

Sir:

In response to the PTO Notification of Missing Requirements Under 35 USC 371 dated December 30, 2005, submitted herewith is a Declaration for the above application executed by the inventors.

Also enclosed are the PTO surcharge of \$130.00 required by 37 CFR 1.492(e), and a copy of the PTO notice.

It is respectfully submitted that the application is now complete, and early indication thereof is now requested.

Respectfully submitted,

Kojiro KAWASAKI/et al.

By

Jeffrey R. Filipek Begistration No. 41,471 Attorney for Applicants

JRF/fs Washington, D.C. 20006-1021 Telephone (202) 721-8200 Facsimile (202) 721-8250 January 11, 2006

Rec' CT/PTO 11 JAN 2006



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vignia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO. 10/533,585 Kojiro Kawasaki

2005 0712A

INTERNATIONAL APPLICATION NO.

PCT/JP03/14237

I.A. FILING DATE

PRIORITY DATE

11/10/2003

11/12/2002

52349 WENDEROTH, LIND & PONACK L.L.P. 2033 K. STREET, NW SUITE 800 WASHINGTON, DC 20006



CONFIRMATION NO. 4119 371 FORMALITIES LETTER *OC000000017716569*

Date Mailed: 12/30/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

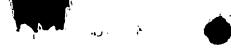
The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 05/03/2005
- English Translation of the IA filed on 05/03/2005
- Copy of the International Search Report filed on 05/03/2005
- Preliminary Amendments filed on 05/03/2005
- Information Disclosure Statements filed on 05/03/2005
- Oath or Declaration filed on 05/03/2005
- Request for Immediate Examination filed on 05/03/2005
- U.S. Basic National Fees filed on 05/03/2005
- Priority Documents filed on 05/03/2005
- Specification filed on 05/03/2005
- Claims filed on 05/03/2005
- Abstracts filed on 05/03/2005
- Drawings filed on 05/03/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath



or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

KAREN R MCLEAN

Telephone: (703) 308-9140 EXT 214

PART 1 - ATTORNEY/APPLICANT COPY

II.G. APPLICATION		
U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
10/533,585	PCT/JP03/14237	
· ·		2005_0712A

FORM PCT/DO/EO/905 (371 Formalities Notice)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Kojiro KAWASAKI et al.

Mail Stop: PCT

Serial No. 10/533,585

Attorney Docket No. 2005 0712A

Filed May 3, 2005

Confirmation No. 4119

RECORDING AND PLAYBACK APPARATUS AND METHOD [Corresponding to PCT/JP2003/014237 Filed November 10, 2003]

PATENT OFFICE FEE TRANSMITTAL FORM

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE FEES FOR THIS PAPER TO DEPOSIT **ACCOUNT NO. 23-0975**

Sir:

Attached hereto is a check in the amount of \$130.00 to cover Patent Office fees relating to filing the following attached papers:

Late filing of executed Declaration\$130.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.

01/13/2006 LLANDGRA 00000060 10533585

01 FC:1617

130.00 OP

Respectfully submitted,

Kojiro KAWASAKI et al.

JRF/fs

WENDEROTH, LIND & PONACK, L.L.P.

2033 K St., N.W., Suite 800

Washington, D.C. 20006-1021

Telephone (202) 721-8200

January 11, 2006

Filipek

stration No. 41,471

Attorney for Applicants

[Check No: __720